

**UNITED STATES COURT OF APPEALS  
FOR THE ELEVENTH CIRCUIT**

ELBERT PARR TUTTLE COURT OF APPEALS BUILDING  
56 Forsyth Street, N.W.  
Atlanta, Georgia 30303

David J. Smith  
Clerk of Court

For rules and forms visit  
[www.ca11.uscourts.gov](http://www.ca11.uscourts.gov)

January 26, 2023

Alex Louis Braunstein  
Fox Rothschild, LLP  
777 S FLAGLER DR STE 1700W  
WEST PALM BEACH, FL 33401

David L. Ferguson  
Kopelowitz Ostrow, PA  
1 W LAS OLAS BLVD STE 500  
FORT LAUDERDALE, FL 33301

Alexis Fields  
Kopelowitz Ostrow, PA  
1 W LAS OLAS BLVD STE 500  
FORT LAUDERDALE, FL 33301

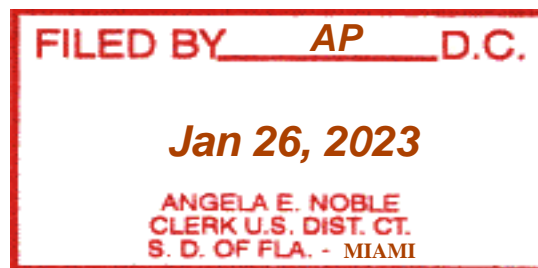
Daniel Scott Fridman  
Fridman Fels & Soto, PLLC  
2525 PONCE DE LEON BLVD STE 715  
CORAL GABLES, FL 33134

Alan S. Futerfas  
Law Office of Alan S. Futerfas  
565 5TH AVE, 7TH FL  
NEW YORK, NY 10017

Seth David Haimovitch  
Kopelowitz Ostrow, PA  
1 W LAS OLAS BLVD STE 500  
FORT LAUDERDALE, FL 33301

Joel Hirschhorn  
GrayRobinson, PA  
333 SE 2ND AVE STE 3200  
MIAMI, FL 33131

James Miller Kaplan  
Kaplan Zeena, LLP  
2 S BISCAYNE BLVD STE 3050



MIAMI, FL 33186

Joshua Robert Levine  
Kopelowitz Ostrow, PA  
1 W LAS OLAS BLVD STE 500  
FORT LAUDERDALE, FL 33301

Noah Elliot Snyder  
Kaplan Zeena, LLP  
2 S BISCAYNE BLVD STE 3050  
MIAMI, FL 33186

Alejandro O. Soto  
U.S. Attorney Service - SFL  
99 NE 4TH ST FL 5  
MIAMI, FL 33132-2111

Appeal Number: 23-10228-A  
Case Style: Securities and Exchange Commission v. Lisa McElhone, et al  
District Court Docket No: 9:20-cv-81205-RAR

**\*\*\*THIS NOTICE PERTAINS TO APPELLANT JOSEPH W. LAFORTE ONLY\*\*\***

Please use the appeal number for all filings in this Court.

Electronic Filing

All counsel must file documents electronically using the Electronic Case Files ("ECF") system, unless exempted for good cause. Although not required, non-incarcerated pro se parties are permitted to use the ECF system by registering for an account at [www.pacer.gov](http://www.pacer.gov). Information and training materials related to electronic filing are available on the Court's website.

Certificate of Interested Persons and Corporate Disclosure Statement ("CIP")

Every motion, petition, brief, answer, response, and reply must contain a CIP. See FRAP 26.1; 11th Cir. R. 26.1-1. In addition:

- Appellants/Petitioners must file a CIP within 14 days after this letter's date.
- Appellees/Respondents/Intervenors/Other Parties must file a CIP within 28 days after this letter's date, regardless of whether Appellants/Petitioners have filed a CIP.
- Only parties represented by counsel must complete the web-based CIP. Counsel must complete the web-based CIP, through the [Web-Based CIP](#) link on the Court's website, on the same day the CIP is first filed.

The failure to comply with 11th Cir. Rules 26.1-1 through 26.1-4 may result in dismissal of the case or appeal under 11th Cir. R. 42-1(b), no action taken on deficient documents, or other sanctions on counsel, the party, or both. See 11th Cir. R. 26.1-5(c).

### Civil Appeal Statement

Appellants and Cross-Appellants must file a [Civil Appeal Statement](#), which is available on the Court's website, within 14 days after this letter's date. See 11th Cir. R. 33-1(a).

### Mediation

This appeal and all related matters will be considered for mediation by the Kinnard Mediation Center. The mediation services are free, and the mediation process is confidential. You may confidentially request mediation by calling the Kinnard Mediation Center at 404-335-6260 (Atlanta) or 305-714-1900 (Miami). See 11th Cir. R. 33-1.

### Attorney Admissions

Attorneys who wish to participate in this appeal must be properly admitted either to the bar of this court or for this particular proceeding, See 11th Cir. R. 46-1; 46-3; 46-4. In addition, all attorneys (except court-appointed attorneys) who wish to participate in this appeal must file an appearance form within fourteen (14) days after this letter's date. The [Application for Admission to the Bar](#) and [Appearance of Counsel Form](#) are available on the Court's website. **The clerk generally may not process filings from an attorney until that attorney files an appearance form.** See 11th Cir. R. 46-6(b).

### Defaults

Pursuant to 11th Cir. R. 42-1(b), **this appeal will be dismissed after 14 days and without further notice** unless the following default(s) have been corrected:

### Transcript Information Form

Pursuant to FRAP 10(b), the appellant must, within 14 days, file a [Transcript Information Form](#), which is available on the Court's website. See FRAP 10(b)(1); 11th Cir. R. 10-1. Unless a transcript is ordered, the appellant's brief is due 40 days after **1/20/2023**, except as otherwise provided in 11th Cir. R. 31-1. See 11th Cir. R. 12-1 and 31-1.

### Obligation to Notify Court of Change of Addresses

Each pro se party and attorney has a continuing obligation to notify this Court of any changes to the party's or attorney's addresses during the pendency of the case in which the party or attorney is participating. See 11th Cir. R. 25-7.

### Clerk's Office Phone Numbers

General Information	404-335-6100
New / Before Briefing Cases	404-335-6135
Cases in Briefing / After Opinion	404-335-6130
Cases Set for Oral Argument	404-335-6141
Capital Cases	404-335-6200
Attorney Admissions	404-335-6122
CM/ECF Help Desk	404-335-6125

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF FLORIDA**

**Case No. 20-CV-81205-RAR**

**SECURITIES AND EXCHANGE COMMISSION,**

**Plaintiff,**

**v.**

**COMPLETE BUSINESS SOLUTIONS GROUP,  
INC. d/b/a PAR FUNDING, *et al.*,**

**Defendants.**

---

**NOTICE OF APPEAL**

PLEASE TAKE NOTICE that, pursuant to Federal Rules of Appellate Procedure 3 and 4, Defendant Joseph LaForte (“Defendant”), hereby appeals to the United States Court of Appeals for the Eleventh Circuit from the Amended Final Judgment As To Defendants Lisa McElhone and Joseph LaForte (the “Judgment,” ECF 1451), and from all orders that merge into the Judgment pursuant to Federal Rules of Appellate Procedure 3(c)(4), including, without limitation, the Amended Order Granting In Part Plaintiff’s Amended Omnibus Motion for Final Judgment (ECF No.1450), the Paperless Minute Entry Granting The Receiver’s Motion to Quash And Denying McElhone’s Motion To Compel (ECF No. 1292), and the Order Granting Motion To Expand Receivership Estate (ECF No. 436).

Dated: January 20, 2023.