

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA

CASE NO. 20-CV-81205-RAR

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

MICHAEL FURMAN, *et al.*,

Defendants.

JURY VERDICT

1. Count I – Securities Exchange Act of 1934 Section 10(b) and Rule 10b-5(a)

Did Michael Furman violate Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5(a) thereunder?

Yes

No

2. Count II – Securities Exchange Act of 1934 Section 10(b) and Rule 10b-5(b)

Did Michael Furman violate Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5(b) thereunder?

Yes

No

3. Count III – Securities Exchange Act of 1934 Section 10(b) and Rule 10b-5(c)

Did Michael Furman violate Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5(c) thereunder?

Yes

No

4. Count IV – Securities Act of 1933 Section 17(a)(1)

Did Michael Furman violate Section 17(a)(1) of the Securities Act of 1933?

Yes No

5. Count V – Securities Act of 1933 Section 17(a)(2)

Did Michael Furman violate Section 17(a)(2) of the Securities Act of 1933?

Yes No

6. Count VI – Securities Act of 1933 Section 17(a)(3)

Did Michael Furman violate Section 17(a)(3) of the Securities Act of 1933?

Yes No

7. Count VII – Securities Act of 1933 Sections 5(a) and 5(c)

Did Michael Furman violate Sections 5(a) and 5(c) of the Securities Act of 1933?

Yes No

SO SAY WE ALL

Foreperson's Name _____

Foreperson's Signature _____

Date 12/15/21